

*Image AF/2871/8*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicants: E. Colgan et al.
Docket No.: JP919990123US1
Serial No.: 09/662,192
Filing Date: September 14, 2000
Group: 2871
Examiner: Prasad R. Akkapeddi

Title: Liquid Crystal Light Valve and Method for Producing
Same, and Liquid Crystal Projection Display Device

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: Lisa L. Tulpis Date: January 14, 2004

TRANSMITTAL OF APPEAL BRIEF

Mail Stop Appeal Brief - Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are the following documents relating to the above-identified patent application:

- (1) Appeal Brief in triplicate (original and two copies); and
- (2) Copy of Notice of Appeal, filed on November 12, 2003, with copy of stamped return postcard indicating receipt of Notice by PTO on November 14, 2003.

Please charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$330 to cover this submission under 37 CFR §1.17(c). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter and two copies of the Appeal Brief are enclosed.

Respectfully submitted,

Wayne L. Ellenbogen
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Date: January 14, 2004

Receipt in the USPTO is hereby acknowledged of:

Notice of Appeal - (Orig. & 1 copy)
Petition for Extension of Time Under
37 C.F.R. §1.136(a) - (Orig. & 1 copy)

November 12, 2003
JP919990123US1
Serial No. 09/662,192
1500-389



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NOTICE OF APPEAL FROM THE EXAMINER TO
THE BOARD OF PATENT APPEALS AND INTERFERENCES

Docket Number (Optional)

JP919990123US1

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Signature Vita Bencivenni

Typed or printed name Vita Bencivenni

In re Application of
E. Colgan et alApplication Number
09/662,192Filed
September 14, 2000For Liquid Crystal Light Valve and Method for Producing Same, and
Liquid Crystal Projection Display DeviceArt Unit
2871Examiner
Prasad R. Akkapeddi

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 1.17(b))

\$ 330.00

Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$ _____

A check in the amount of the fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.

The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-0510. I have enclosed a duplicate copy of this sheet.

A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the

applicant/inventor.

assignee of record of the entire interest.
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.
(Form PTO/SB/96)

attorney or agent of record. 43,602
Registration number _____

attorney or agent acting under 37 CFR 1.34(a).
Registration number if acting under 37 CFR 1.34(a). _____

Wayne L. Ellenbogen
Signature

Wayne L. Ellenbogen

Typed or printed name

(516) 759-7662

Telephone number

November 12, 2003

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.
Submit multiple forms if more than one signature is required, see below*.

*Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.191. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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